

# THE ASSET MANAGER'S ROADMAP TO ESAP READINESS

## A PRACTICAL GUIDE

READ GUIDE



AQMETRICS



# INTRODUCTION

The European Single Access Point (ESAP) is set to fundamentally change how financial and sustainability disclosures are accessed across the EU. Proposed by the European Commission and operated by ESMA, ESAP will make holdings data centrally searchable, meaning ownership structures will become more transparent and strategic positions will be easier to track. While ESAP does not change existing thresholds or filing duties, it represents transparency at scale. If you are an asset manager who has obligations to file major holdings notifications across multiple jurisdictions, here is what you need to know to prepare.



**1**

**UNDERSTAND YOUR SCOPE**

- 1.1
- 1.2
- 1.3



# 2

**2.1**

**2.2**

**2.3**

**2.4**

# REPORT

## KNOW THE DATA REQUIREMENTS

As the industry moves toward more data-driven decision-making, it is essential to understand the specific data requirements for each regulatory program. This report provides a comprehensive overview of the data requirements for various programs, including the Clean Air Act, Clean Water Act, and other key regulations. It details the types of data needed, the frequency of reporting, and the methods for data collection and verification. Understanding these requirements is crucial for ensuring compliance and optimizing operational efficiency.



REGULATORY DATA REQUIREMENTS



- 1. Air Quality Data
- 2. Water Quality Data
- 3. Emissions Data
- 4. Compliance Data
- 5. Reporting Data



# PREPARE FOR THE SLAMMING DOOR PROCESS

As the industry continues to evolve, it is essential for stakeholders to stay informed about the latest regulatory developments. This document provides a comprehensive overview of the current regulatory landscape, highlighting key areas of focus and potential challenges. The information is intended to assist in the development of effective strategies to navigate the complex regulatory environment. Key areas of focus include the impact of new regulations on various sectors, the role of government agencies, and the importance of transparency and accountability in the regulatory process. Stakeholders are encouraged to engage in ongoing dialogue and collaboration to address emerging issues and ensure compliance with the latest requirements. This document serves as a valuable resource for anyone involved in the industry, providing the necessary insights and guidance to stay ahead of the curve.



# TRACK THE PHASED TIMELINE



PHASE 1  
2024-2025

PHASE 2  
2025-2026

PHASE 3  
2026-2027

PHASE 4  
2027-2028

PHASE 5  
2028-2029



# IMMEDIATE NEXT STEPS FOR FIRMS

Develop a comprehensive regulatory strategy  
Engage with regulators and industry groups

**1. Assess Regulatory Exposure**  
Identify all applicable regulations  
Conduct a risk assessment  
Engage legal counsel

**2. Develop a Regulatory Strategy**  
Identify key regulatory areas  
Develop a compliance plan  
Engage with regulators

**3. Implement and Monitor**  
Implement the compliance plan  
Monitor regulatory changes  
Report to regulators



CONNECT



# GET IN TOUCH

Get in touch with us today to ensure your operations are fully prepared for this new era of transparency at scale.



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