

AQMetrics Form PF solution

AQMetrics has created an easy to use, performant, time saving solution for Form PF filing. Through AQMetrics established relationships with prime brokers, custodians and administrators, we have the ability to quickly onboard even the largest portfolios and provide a dedicated customer success team to manage the process. We work with our clients to structure the best solution for their requirements with emphasis on functionality, transparency and cost-effectiveness.

Operational Report Status

Fund Name:

Report Type:

Status:

Manager Name	Fund Name	Active Reports	Reporting Period	Completion	Status	Report Id
AQMetrics Ltd	AQMetrics Global Hedge Fund	FORMPF	2015-12-31	<div style="width: 5%; background-color: green;"></div> 5%	Approved	100
AQMetrics Ltd	AQMetrics Global Hedge Fund	FORMPF	2016-09-30	<div style="width: 16%; background-color: green;"></div> 16%	Approved	97
AQMetrics Ltd	AQMetrics Global Hedge Fund	AIF	2016-12-31	<div style="width: 71%; background-color: green;"></div> 71%	Approved	1259

< Form PF Reports
Form PF Report
Regulatory Reporting Admin ▼

Report ID 96 **Reporting Period Quarter** 4

Fund Manager Name Fund Manager XYZ **Reporting Period End Date** 2016-12-31

RAUM 617,844,190.00 **NAUM** 617,844,190.00

Section 1a: 100%

Section 1b: 20%

Section 1c: 20%

Section 2a: 33%

Section 2b: 27%

Total: 34%

Status: Approved View Audit Log

Assigned To: regmonadmin@aqmetrics.com

Updated By: regmonadmin@aqmetrics.com

Add Comment
Assign ▼
Add Due Date ▼

Reverse Approval ▼
Create XML ▼
File Report ▼

Section 1a
Section 1b
Section 1c
Section 2a
Section 2b
Section 3
Section 4
Section 5

Review & Submit Report

Section 1a: Information about you and your related persons

Fund Data ✔

Yes (Form PF Ref A)

No (Form PF Ref B)

PF-INIT - Initial Filing ▼

< Reports
Compare Reports
Regulatory Reporting Admin ▼

Column Name	form-pf-2-96.xml	form-pf-2-97.xml	variance
PFXMLFiling			
xmns:xsd	http://www.w3.org/2001/XMLSchema	http://www.w3.org/2001/XMLSchema	
xmns:xsi	http://www.w3.org/2001/XMLSchema-instance	http://www.w3.org/2001/XMLSchema-instance	
FilingType	PF-INIT	PF-INIT	
ReportingPeriodType	Q	Q	
ReportingPeriodEndDate	2016-12-31	2017-03-31	
ReportingPeriodQuarter	4	4	
NFAFirmID	1234567	1234567	
LargeTraderID	16424194	16424194	
LargeTraderIDSuffix	Id	Id	

Convenient, Automated Regulatory Reporting

Easy Data Collection

- Store and auto-load static data
- Flexible templates for holdings and transaction data
- Real-time validation of data on load
- Calculation of notionals/deltas for derivatives
- Aggregation of fund exposures
- Online editing of data, fully audited

Data Validation

- Intuitive workflow for data collection, report validation and approval
- Full audit trail on report actions
- In built field validations mirroring the report schema rules
- Drill down into aggregates, providing transparency on calculation methodology
- Side-by-side comparison of reports over historic filing periods

Ensuring Customer Success

- Access to AQMetrics online knowledge base, sharing information gathered across peer group
- Support from our Customer Success team of regulatory reporting experts, fund accountants, quant and risk experts.
- End-to-end service, straight through to regulator portal